

One-scale H-distributions

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Introduction

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$$|u_n|^2 \xrightarrow{*} \nu.$$

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If the defect measure is not trivial we need another objects to determine all the properties of the sequence:

- H-measures
- semiclassical measures
- ...

- 1 H-measures and semiclassical measures
- 2 One-scale H-measures
- 3 One-scale H-distributions
- 4 Multi-scale problems

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H-measures

$\Omega \subseteq \mathbf{R}^d$ open.

Theorem (Tartar, 1990)

If $u_n \rightharpoonup 0$ in $L^2_{\text{loc}}(\Omega)$, then there exist a subsequence $(u_{n'})$ and $\mu_H \in \mathcal{M}(\Omega \times \mathbf{S}^{d-1})$ such that for any $\varphi_1, \varphi_2 \in C_c(\Omega)$ and $\psi \in C(\mathbf{S}^{d-1})$

$$\lim_{n'} \int_{\mathbf{R}^d} (\widehat{\varphi_1 u_{n'}})(\boldsymbol{\xi}) \overline{(\widehat{\varphi_2 u_{n'}})(\boldsymbol{\xi})} \psi\left(\frac{\boldsymbol{\xi}}{|\boldsymbol{\xi}|}\right) d\boldsymbol{\xi} = \langle \mu_H, \varphi_1 \bar{\varphi}_2 \boxtimes \psi \rangle.$$

(Unbounded) Radon measure μ_H we call *the H-measure* corresponding to the (sub)sequence (u_n) .

Notation:

$\mathbf{x} = (x^1, x^2, \dots, x^d) \in \Omega$, $\boldsymbol{\xi} = (\xi_1, \xi_2, \dots, \xi_d) \in \mathbf{R}^d$

$\hat{u}(\boldsymbol{\xi}) = \int_{\mathbf{R}^d} e^{-2\pi i \boldsymbol{\xi} \cdot \mathbf{x}} u(\mathbf{x}) d\mathbf{x}$

$\mathbf{a} \cdot \mathbf{b} = \sum_{i=1}^d a^i \bar{b}^i$ ($\mathbf{a}, \mathbf{b} \in \mathbf{C}^r$)

$\langle \cdot, \cdot \rangle$ sesquilinear dual product

$\mathcal{M}(X) = (C_c(X))'$, $(\varphi \boxtimes \psi)(\mathbf{x}, \boldsymbol{\xi}) = \varphi(\mathbf{x})\psi(\boldsymbol{\xi})$

$\Omega \subseteq \mathbf{R}^d$ open.

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Corollary

$$u_n \xrightarrow{L^2_{\text{loc}}} 0 \iff \mu_H = 0.$$

Theorem (Gérard, 1991)

If $u_n \rightharpoonup 0$ in $L^2_{\text{loc}}(\Omega)$, $\omega_n \rightarrow 0^+$, then there exist a subsequence $(u_{n'})$ and $\mu_{sc}^{(\omega_{n'})} \in \mathcal{M}(\Omega \times \mathbf{R}^d)$ such that for any $\varphi_1, \varphi_2 \in C_c^\infty(\Omega)$ and $\psi \in \mathcal{S}(\mathbf{R}^d)$

$$\lim_{n'} \int_{\mathbf{R}^d} \widehat{\varphi_1 u_{n'}}(\boldsymbol{\xi}) \overline{\widehat{\varphi_2 u_{n'}}(\boldsymbol{\xi})} \psi(\omega_{n'} \boldsymbol{\xi}) d\boldsymbol{\xi} = \left\langle \mu_{sc}^{(\omega_{n'})}, \varphi_1 \bar{\varphi}_2 \boxtimes \psi \right\rangle .$$

(Unbounded) measure $\mu_{sc}^{(\omega_{n'})}$ we call *the semiclassical measure with characteristic length $(\omega_{n'})$* corresponding to the (sub)sequence $(u_{n'})$.

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Theorem

$$u_n \xrightarrow{L^2_{\text{loc}}} 0 \iff \mu_{sc}^{(\omega_n)} = 0 \quad \& \quad (u_n) \text{ is } (\omega_n) - \text{oscillatory} .$$

Semiclassical measures

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(u_n) is (ω_n) -oscillatory if

$$(\forall \varphi \in C_c^\infty(\Omega)) \quad \lim_{R \rightarrow \infty} \limsup_n \int_{|\boldsymbol{\xi}| \geq \frac{R}{\omega_n}} |\widehat{\varphi u_n}(\boldsymbol{\xi})|^2 d\boldsymbol{\xi} = 0 .$$

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Example 1: Oscillations - one characteristic length

$\alpha > 0, \mathbf{k} \in \mathbf{Z}^d \setminus \{0\},$

$$u_n(\mathbf{x}) := e^{2\pi i n^\alpha \mathbf{k} \cdot \mathbf{x}} \xrightarrow{L^2_{\text{loc}}} 0, \quad n \rightarrow \infty,$$

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$$\nu = \lambda$$

$$\mu_H = \lambda \boxtimes \delta_{\frac{\mathbf{k}}{|\mathbf{k}|}}$$

$$\mu_{sc}^{(\omega_n)} = \lambda \boxtimes \begin{cases} \delta_0 & , & \lim_n n^\alpha \omega_n = 0 \\ \delta_{c\mathbf{k}} & , & \lim_n n^\alpha \omega_n = c \in \langle 0, \infty \rangle \\ 0 & , & \lim_n n^\alpha \omega_n = \infty \end{cases}$$

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Theorem

If $u_n \rightharpoonup u$ in $L^2_{loc}(\Omega)$ is (ω_n) -oscillatory and $\mu_{sc}^{(\omega_n)}(\Omega \times \{0\}) = 0$, then $u = 0$ and

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(ω_n) -concentrating property

Definition

(u_n) is (ω_n) -oscillatory if

$$(\forall \varphi \in C_c^\infty(\Omega)) \quad \lim_{R \rightarrow \infty} \limsup_n \int_{|\xi| \geq \frac{R}{\omega_n}} |\widehat{\varphi u_n}(\xi)|^2 d\xi = 0.$$

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$$(u_n) \text{ } \omega_n\text{-concentrating} \iff \mu_{sc}^{(\omega_n)}(\Omega \times \{0\}) = 0.$$

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Theorem

If $u_n \rightharpoonup u$ in $L_{loc}^2(\Omega)$ is (ω_n) -oscillatory and (ω_n) -concentrating, then $u = 0$ and

$$\langle \mu_H, \varphi \boxtimes \psi \rangle = \left\langle \mu_{sc}^{(\omega_n)}, \varphi \boxtimes \psi \left(\frac{\cdot}{|\cdot|} \right) \right\rangle.$$

For an arbitrary bounded sequence (u_n) in $L^2_{\text{loc}}(\Omega)$ is there a characteristic length $\omega_n \rightarrow 0^+$ such that (u_n) is

- (ω_n) -oscillatory?
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- M.E., M. Lazar: *Characteristic scales of bounded L^2 sequences*, *Asymptotic Analysis* **109**(3-4) (2018) 171–192.

Example 2: Oscillations - two characteristic length

$$0 < \alpha < \beta, \mathbf{k}, \mathbf{s} \in \mathbf{Z}^d \setminus \{0\},$$

$$u_n(\mathbf{x}) := e^{2\pi i n^\alpha \mathbf{k} \cdot \mathbf{x}} \xrightarrow{L^2_{loc}} 0, \quad n \rightarrow \infty$$

$$v_n(\mathbf{x}) := e^{2\pi i n^\beta \mathbf{s} \cdot \mathbf{x}} \xrightarrow{L^2_{loc}} 0, \quad n \rightarrow \infty$$

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$\mu_H(\mu_{sc}^{(\omega_n)})$ is H-measure (semiclassical measure with characteristic length (ω_n) , $\omega_n \rightarrow 0^+$) associated to $(u_n + v_n)$.

$$\mu_H = \lambda \boxtimes \left(\delta_{\frac{\mathbf{k}}{|\mathbf{k}|}} + \delta_{\frac{\mathbf{s}}{|\mathbf{s}|}} \right)$$

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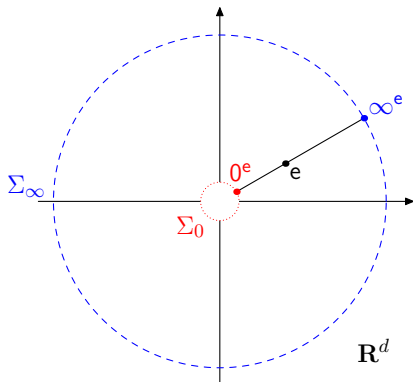
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$K_{0,\infty}(\mathbf{R}^d)$

$K_{0,\infty}(\mathbf{R}^d)$ is a compactification of $\mathbf{R}_*^d := \mathbf{R}^d \setminus \{0\}$ homeomorphic to a spherical layer (i.e. an annulus in \mathbf{R}^2):



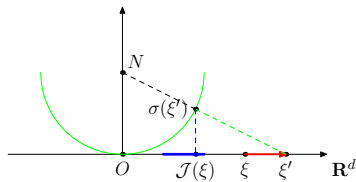
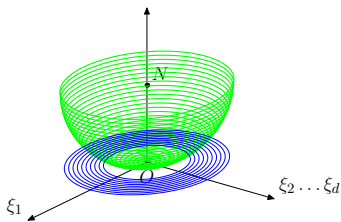
Precise description of $K_{0,\infty}(\mathbf{R}^d)$ 1/2

For fixed $r_0 > 0$ let us define $r_1 = \frac{r_0}{\sqrt{r_0^2+1}}$, and denote by

$$A[0, r_1, 1] := \left\{ \zeta \in \mathbf{R}^d : r_1 \leq |\zeta| \leq 1 \right\}$$

closed d -dimensional spherical layer equipped with the standard topology (inherited from \mathbf{R}^d).

We want to construct a homeomorphism $\mathcal{J} : \mathbf{R}_*^d \longrightarrow \text{Int } A[0, r_1, 1]$.



Precise description of $K_{0,\infty}(\mathbf{R}^d)$ 2/2

From the previous construction we get that $\mathcal{J} : \mathbf{R}_*^d \rightarrow \text{Int } A[0, r_1, 1]$ is given by

$$\mathcal{J}(\xi) = \frac{\xi}{\sqrt{|\xi|^2 + \left(\frac{|\xi|}{|\xi| + r_0}\right)^2}},$$

and $(A[0, r_1, 1], \mathcal{J})$ is a compactification of \mathbf{R}_*^d .

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Lemma

For $\psi \in C(\mathbf{R}_*^d)$ we have $\psi \in C(K_{0,\infty}(\mathbf{R}^d))$ iff there exist $\psi_0, \psi_\infty \in C(S^{d-1})$ such that

$$\psi(\xi) - \psi_0\left(\frac{\xi}{|\xi|}\right) \rightarrow 0, \quad |\xi| \rightarrow 0,$$

$$\psi(\xi) - \psi_\infty\left(\frac{\xi}{|\xi|}\right) \rightarrow 0, \quad |\xi| \rightarrow \infty.$$

Lemma

i) $\mathcal{S}(\mathbf{R}^d) \hookrightarrow C(K_{0,\infty}(\mathbf{R}^d))$, and

ii) $\{\psi(\frac{\cdot}{|\cdot|}) : \psi \in C(S^{d-1})\} \hookrightarrow C(K_{0,\infty}(\mathbf{R}^d))$.

Theorem (Tartar, 2009)

If $u_n \rightharpoonup 0$ in $L^2_{\text{loc}}(\Omega)$, $\omega_n \rightarrow 0^+$, then there exist a subsequence $(u_{n'})$ and $\mu_{osH}^{(\omega_{n'})} \in \mathcal{M}(\Omega \times \mathbf{K}_{0,\infty}(\mathbf{R}^d))$ such that for any $\varphi_1, \varphi_2 \in C_c(\Omega)$ and $\psi \in C(\mathbf{K}_{0,\infty}(\mathbf{R}^d))$

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(Unbounded) Radon measure $\mu_{osH}^{(\omega_{n'})}$ we call *the one-scale H-measure with characteristic length $(\omega_{n'})$* corresponding to the (sub)sequence $(u_{n'})$.

Generalisation of both H-measures and semiclassical measures.

- ▶ L. Tartar: *The general theory of homogenization: A personalized introduction*, Springer (2009).

Some properties of μ_{osH}

- $\mu_{osH}^{(\omega_n)} = 0 \iff \mu_H = 0$
- $\mu_{osH}^{(\omega_n)} = 0 \implies \mu_{sc}^{(\omega_n)} = 0$
- $\mu_{osH}^{(\omega_n)}(\Omega \times \Sigma_\infty) = 0 \iff (u_n)$ is (ω_n) – oscillatory
- $\mu_{osH}^{(\omega_n)}(\Omega \times \Sigma_0) = 0 \iff (u_n)$ is (ω_n) – concentrating
- $u_n \xrightarrow{L^2_{loc}} 0 \iff \mu_{osH}^{(\omega_n)} = 0$

Example 1 revisited

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$$\mu_H = \lambda \boxtimes \delta_{\frac{\mathbf{k}}{|\mathbf{k}|}}$$

$$\mu_{sc}^{(\omega_n)} = \lambda \boxtimes \begin{cases} \delta_0 & , & \lim_n n^\alpha \omega_n = 0 \\ \delta_{c\mathbf{k}} & , & \lim_n n^\alpha \omega_n = c \in \langle 0, \infty \rangle \\ 0 & , & \lim_n n^\alpha \omega_n = \infty \end{cases}$$

$$\mu_{osH}^{(\omega_n)} = \lambda \boxtimes \begin{cases} \delta_{\frac{\mathbf{k}}{|\mathbf{k}|}} & , & \lim_n n^\alpha \omega_n = 0 \\ \delta_{c\mathbf{k}} & , & \lim_n n^\alpha \omega_n = c \in \langle 0, \infty \rangle \\ \delta_{\frac{\mathbf{k}}{\infty}} & , & \lim_n n^\alpha \omega_n = \infty \end{cases}$$

Example 2 - revisited

$u_n(\mathbf{x}) = e^{2\pi i n^\alpha \mathbf{k} \cdot \mathbf{x}}$, $v_n(\mathbf{x}) = e^{2\pi i n^\beta \mathbf{s} \cdot \mathbf{x}}$,
 associated objects to $(u_n + v_n)$:

$$\mu_H = \lambda \boxtimes \left(\delta_{\frac{\mathbf{k}}{|\mathbf{k}|}} + \delta_{\frac{\mathbf{s}}{|\mathbf{s}|}} \right)$$

$$\mu_{sc}^{(\omega_n)} = \lambda \boxtimes \begin{cases} 2\delta_0 & , & \lim_n n^\beta \omega_n = 0 \\ (\delta_0 + \delta_{cs}) & , & \lim_n n^\beta \omega_n = c \in \langle 0, \infty \rangle \\ \delta_0 & , & \lim_n n^\beta \omega_n = \infty \ \& \ \lim_n n^\alpha \omega_n = 0 \\ \delta_{ck} & , & \lim_n n^\alpha \omega_n = c \in \langle 0, \infty \rangle \\ 0 & , & \lim_n n^\alpha \omega_n = \infty \end{cases}$$

$$\mu_{osH}^{(\omega_n)} = \lambda \boxtimes \begin{cases} (\delta_0 \frac{\mathbf{k}}{|\mathbf{k}|} + \delta_0 \frac{\mathbf{s}}{|\mathbf{s}|}) & , & \lim_n n^\beta \omega_n = 0 \\ (\delta_0 \frac{\mathbf{k}}{|\mathbf{k}|} + \delta_{cs}) & , & \lim_n n^\beta \omega_n = c \in \langle 0, \infty \rangle \\ (\delta_0 \frac{\mathbf{k}}{|\mathbf{k}|} + \delta_\infty \frac{\mathbf{s}}{|\mathbf{s}|}) & , & \lim_n n^\beta \omega_n = \infty \ \& \ \lim_n n^\alpha \omega_n = 0 \\ (\delta_{ck} + \delta_\infty \frac{\mathbf{s}}{|\mathbf{s}|}) & , & \lim_n n^\alpha \omega_n = c \in \langle 0, \infty \rangle \\ (\delta_\infty \frac{\mathbf{k}}{|\mathbf{k}|} + \delta_\infty \frac{\mathbf{s}}{|\mathbf{s}|}) & , & \lim_n n^\alpha \omega_n = \infty \end{cases}$$

Alternative proof (Antonić, E., Lazar)

- Cantor diagonal procedure (separability)
- commutation lemma
- a variant of the kernel lemma

Lemma

Let X and Y be two Hausdorff second countable topological manifolds (with or without a boundary), and let B be a non-negative continuous bilinear form on $C_c(X) \times C_c(Y)$. Then there exists a Radon measure $\mu \in \mathcal{M}(X \times Y)$ such that

$$(\forall f \in C_c(X))(\forall g \in C_c(Y)) \quad B(f, g) = \langle \mu, f \boxtimes g \rangle .$$

Furthermore, the above remains valid if we replace C_c by C_0 , and \mathcal{M} by \mathcal{M}_b (the space of bounded Radon measures, i.e. the dual of Banach space C_0).

- ▶ N. AntoniĆ, M.E., M. Lazar: *Localisation principle for one-scale H-measures*, Journal of Functional Analysis **272** (2017) 3410–3454.

Localisation principle

Let $\Omega \subseteq \mathbf{R}^d$ open, $m \in \mathbf{N}$, $u_n \rightharpoonup 0$ in $L^2_{\text{loc}}(\Omega; \mathbf{C}^r)$ and

$$\sum_{0 \leq |\alpha| \leq m} \varepsilon_n^{|\alpha|} \partial_\alpha (\mathbf{A}_n^\alpha u_n) = f_n \quad \text{in } \Omega,$$

where

- $\varepsilon_n \rightarrow 0^+$
- $\mathbf{A}^\alpha \in C(\Omega; M_r(\mathbf{C}))$
- $(\forall \varphi \in C_c^\infty(\Omega)) \quad \frac{\widehat{\varphi f_n}}{1 + \sum_{s=0}^m |\varepsilon_n \xi|^s} \rightarrow 0 \quad \text{in } L^2(\mathbf{R}^d; \mathbf{C}^r) \quad (*)$

Theorem (Antonić, E., Lazar, 2017)

Under previous assumptions, $\mu_{1sH}^{(\varepsilon_n)}$ associated to (u_n) satisfies

$$\mathbf{P}_1 \mu_{1sH}^\top = \mathbf{0},$$

where

$$\mathbf{P}_1(\mathbf{x}, \xi) := \sum_{0 \leq |\alpha| \leq m} (2\pi i)^{|\alpha|} \frac{\xi^\alpha}{1 + |\xi|^m} \mathbf{A}^\alpha(\mathbf{x}).$$

Example 3: equations with characteristic length (1/2)

Let $\Omega \subseteq \mathbf{R}^2$ be open, and let $u_n := (u_n^1, u_n^2) \rightharpoonup 0$ in $L_{\text{loc}}^2(\Omega; \mathbf{C}^2)$ satisfies

$$\begin{cases} u_n^1 + \varepsilon_n \partial_{x_1}(a_1 u_n^1) = f_n^1 \\ u_n^2 + \varepsilon_n \partial_{x_2}(a_2 u_n^2) = f_n^2 \end{cases},$$

where $\varepsilon_n \rightarrow 0^+$, $f_n := (f_n^1, f_n^2)$ satisfies (*), and $a_1, a_2 \in C(\Omega; \mathbf{R})$, $a_1, a_2 \neq 0$ everywhere.

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By the localisation principle for one-scale H-measure μ_{1sH} with characteristic length (ε_n) associated to (u_n) we get the relation

$$\left(\frac{1}{1 + |\xi|} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} + \frac{2\pi i \xi_1}{1 + |\xi|} \begin{bmatrix} a_1(\mathbf{x}) & 0 \\ 0 & 0 \end{bmatrix} + \frac{2\pi i \xi_2}{1 + |\xi|} \begin{bmatrix} 0 & 0 \\ 0 & a_2(\mathbf{x}) \end{bmatrix} \right) \mu_{1sH}^\top = \mathbf{0},$$

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whose (1, 1) component reads

$$\left(\frac{1}{1 + |\xi|} + i \frac{2\pi \xi_1}{1 + |\xi|} a_1(\mathbf{x}) \right) \mu_{osH}^{11} = 0,$$

hence

$$\frac{1}{1 + |\xi|} \mu_{osH}^{11} = 0, \quad \frac{\xi_1}{1 + |\xi|} \mu_{osH}^{11} = 0.$$

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Example 3: equations with characteristic length (2/2)

Analogously, from the (2, 2) component we get

$$\text{supp } \mu_{osH}^{22} \subseteq \Omega \times \{\infty^{(-1,0)}, \infty^{(1,0)}\},$$

hence $\text{supp } \mu_{osH}^{11} \cap \text{supp } \mu_{osH}^{22} = \emptyset$ which implies $\mu_{osH}^{12} = \mu_{osH}^{21} = 0$.

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The very definition of one-scale H-measures gives $u_n^1 \bar{u}_n^2 \xrightarrow{*} 0$.

This approach can be systematically generalised by introducing a variant of compensated compactness suitable for problems with characteristic length.

- 1 H-measures and semiclassical measures
- 2 One-scale H-measures
- 3 One-scale H-distributions**
- 4 Multi-scale problems

One-scale H-measures

$\Omega \subseteq \mathbf{R}^d$ open

Theorem

If $u_n \rightharpoonup 0$ in $L^2_{\text{loc}}(\Omega)$, $v_n \rightharpoonup 0$ in $L^2_{\text{loc}}(\Omega)$ and $\omega_n \rightarrow 0^+$, then there exist $(u_{n'})$, $(v_{n'})$ and $\mu_{\text{osH}}^{(\omega_{n'})} \in \mathcal{M}(\Omega \times \mathbf{K}_{0,\infty}(\mathbf{R}^d))$ such that for any $\varphi_1, \varphi_2 \in C_c(\Omega)$ and $\psi \in C(\mathbf{K}_{0,\infty}(\mathbf{R}^d))$

$$\lim_{n'} \int_{\mathbf{R}^d} \widehat{\varphi_1 u_{n'}}(\boldsymbol{\xi}) \overline{\widehat{\varphi_2 v_{n'}}(\boldsymbol{\xi})} \psi(\omega_{n'} \boldsymbol{\xi}) d\boldsymbol{\xi} = \langle \mu_{\text{osH}}^{(\omega_{n'})}, \varphi_1 \bar{\varphi}_2 \boxtimes \psi \rangle .$$

The measure $\mu_{\text{osH}}^{(\omega_{n'})}$ is called *the one-scale H-measure* with characteristic length $(\omega_{n'})$ associated to the (sub)sequences $(u_{n'})$ and $(v_{n'})$.

One-scale H-measures

$\Omega \subseteq \mathbf{R}^d$ open

Theorem

If $u_n \rightharpoonup 0$ in $L^2_{\text{loc}}(\Omega)$, $v_n \rightharpoonup 0$ in $L^2_{\text{loc}}(\Omega)$ and $\omega_n \rightarrow 0^+$, then there exist $(u_{n'})$, $(v_{n'})$ and $\mu_{osH}^{(\omega_{n'})} \in \mathcal{M}(\Omega \times K_{0,\infty}(\mathbf{R}^d))$ such that for any $\varphi_1, \varphi_2 \in C_c(\Omega)$ and $\psi \in C(K_{0,\infty}(\mathbf{R}^d))$

$$\lim_{n'} \int_{\mathbf{R}^d} \mathcal{A}_{\psi_n}(\varphi_1 u_{n'})(\mathbf{x}) \overline{(\varphi_2 v_{n'})(\mathbf{x})} d\mathbf{x} = \langle \mu_{osH}^{(\omega_{n'})}, \varphi_1 \bar{\varphi}_2 \boxtimes \psi \rangle .$$

The measure $\mu_{osH}^{(\omega_{n'})}$ is called *the one-scale H-measure* with characteristic length $(\omega_{n'})$ associated to the (sub)sequences $(u_{n'})$ and $(v_{n'})$.

$$\mathcal{A}_{\psi}(u) = (\psi \hat{u})^\vee, \quad \psi_n(\boldsymbol{\xi}) := \psi(\omega_n \boldsymbol{\xi})$$

One-scale H-distributions

$\Omega \subseteq \mathbf{R}^d$ open

Theorem

If $u_n \rightharpoonup 0$ in $L^p_{\text{loc}}(\Omega)$, $v_n \rightharpoonup 0$ in $L^{p'}_{\text{loc}}(\Omega)$ and $\omega_n \rightarrow 0^+$, then there exist $(u_{n'})$, $(v_{n'})$ and $\nu_{1sH}^{(\omega_{n'})} \in \mathcal{D}'(\Omega \times K_{0,\infty}(\mathbf{R}^d))$ such that for any $\varphi_1, \varphi_2 \in C_c^\infty(\Omega)$ and $\psi \in E$

$$\lim_{n'} \int_{\mathbf{R}^d} \mathcal{A}_{\psi_n}(\varphi_1 u_{n'}) (\mathbf{x}) \overline{(\varphi_2 v_{n'}) (\mathbf{x})} d\mathbf{x} = \langle \nu_{1sH}^{(\omega_{n'})}, \varphi_1 \bar{\varphi}_2 \boxtimes \psi \rangle .$$

The **distribution** $\nu_{1sH}^{(\omega_{n'})}$ is called **the one-scale H-distribution** with characteristic length $(\omega_{n'})$ associated to the (sub)sequences $(u_{n'})$ and $(v_{n'})$.

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One-scale H-distributions

$\Omega \subseteq \mathbf{R}^d$ open, $p \in \langle 1, \infty \rangle$, $\frac{1}{p} + \frac{1}{p'} = 1$

Theorem

If $u_n \rightharpoonup 0$ in $L^p_{\text{loc}}(\Omega)$, $v_n \rightharpoonup 0$ in $L^{p'}_{\text{loc}}(\Omega)$ and $\omega_n \rightarrow 0^+$, then there exist $(u_{n'})$, $(v_{n'})$ and $\nu_{1sH}^{(\omega_{n'})} \in \mathcal{D}'(\Omega \times K_{0,\infty}(\mathbf{R}^d))$ such that for any $\varphi_1, \varphi_2 \in C_c^\infty(\Omega)$ and $\psi \in E$

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Determine E such that

- $\mathcal{A}_\psi : L^p(\mathbf{R}^d) \longrightarrow L^p(\mathbf{R}^d)$ is continuous
- The First commutation lemma is valid

Differential structure on $K_{0,\infty}(\mathbf{R}^d)$

For $\kappa \in \mathbf{N}_0 \cup \{\infty\}$ let us define

$$C^\kappa(K_{0,\infty}(\mathbf{R}^d)) := \left\{ \psi \in C(K_{0,\infty}(\mathbf{R}^d)) : \psi^* := \psi \circ \mathcal{J}^{-1} \in C^\kappa(A[0, r_1, 1]) \right\}.$$

It is not hard to check that $C^0(K_{0,\infty}(\mathbf{R}^d))$ and $C(K_{0,\infty}(\mathbf{R}^d))$ coincide.

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For $\psi \in C^\kappa(K_{0,\infty}(\mathbf{R}^d))$ we define $\|\psi\|_{C^\kappa(K_{0,\infty}(\mathbf{R}^d))} := \|\psi^*\|_{C^\kappa(A[0, r_1, 1])}$.

$C^\kappa(A[0, r_1, 1])$ Banach algebra $\implies C^\kappa(K_{0,\infty}(\mathbf{R}^d))$ Banach algebra

$A[0, r_1, 1]$ compact $\implies C^\kappa(A[0, r_1, 1])$ separable

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Is $\mathcal{A}_\psi = (\psi^\cdot)^\vee : L^p(\mathbf{R}^d) \longrightarrow L^p(\mathbf{R}^d)$ continuous?

Theorem (Hörmander-Mihlin)

If for $\psi \in L^\infty(\mathbf{R}^d)$ there exists $C > 0$ such that

$$(\forall \xi \in \mathbf{R}_*^d)(\forall \alpha \in \mathbf{N}_0^d, |\alpha| \leq \kappa) \quad |\partial^\alpha \psi(\xi)| \leq \frac{C}{|\xi|^{|\alpha|}},$$

where $\kappa = \lfloor \frac{d}{2} \rfloor + 1$, then ψ is a Fourier multiplier. Moreover, we have

$$\|\mathcal{A}_\psi\|_{\mathcal{L}(L^p(\mathbf{R}^d))} \leq C_d \max\left\{p, \frac{1}{p-1}\right\} C.$$

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$$(\forall \xi \in \mathbf{R}_*^d)(\forall \alpha \in \mathbf{N}_0^d, |\alpha| \leq \kappa) \quad |\partial^\alpha \psi(\xi)| \leq \frac{C}{|\xi|^{|\alpha|}},$$

where $\kappa = \lfloor \frac{d}{2} \rfloor + 1$, then ψ is a Fourier multiplier. Moreover, we have

$$\|\mathcal{A}_\psi\|_{\mathcal{L}(L^p(\mathbf{R}^d))} \leq C_d \max\left\{p, \frac{1}{p-1}\right\} C.$$

We shall use *Faá di Bruno formula*: for sufficiently smooth functions $g : \mathbf{R}^d \rightarrow \mathbf{R}^r$ and $f : \mathbf{R}^r \rightarrow \mathbf{R}$ we have

$$\partial^\alpha (f \circ g)(\xi) = |\alpha|! \sum_{1 \leq |\beta| \leq |\alpha|, \beta \in \mathbf{N}_0^r} C(\beta, \alpha),$$

where

$$C(\beta, \alpha) = \frac{(\partial^\beta f)(g(\xi))}{\beta!} \sum_{\substack{\sum_{i=1}^r \alpha_i = \alpha \\ \alpha_i \in \mathbf{N}_0^d}} \prod_{j=1}^r \sum_{\substack{\sum_{i=1}^{\beta_j} \gamma_i = \alpha_j \\ \gamma_i \in \mathbf{N}_0^d \setminus \{0\}}} \prod_{s=1}^{\beta_j} \frac{\partial^{\gamma_s} g_j(\xi)}{\gamma_s!}.$$

Lemma

For every $j \in 1..d$ and $\alpha \in \mathbf{N}_0^d$ we have

$$|\partial^\alpha(\mathcal{J}_j)(\boldsymbol{\xi})| \leq \frac{C_{\alpha,d}}{|\boldsymbol{\xi}|^{|\alpha|}}, \quad \boldsymbol{\xi} \in \mathbf{R}_*^d.$$

Theorem

Let $\kappa \in \mathbf{N}_0$. For every $\psi \in C^\kappa(K_{0,\infty}(\mathbf{R}^d))$ and $\alpha \in \mathbf{N}_0^d$ such that $|\alpha| \leq \kappa$ we have

$$|\partial^\alpha \psi(\boldsymbol{\xi})| \leq C_{\kappa,d} \frac{\|\psi\|_{C^\kappa(K_{0,\infty}(\mathbf{R}^d))}}{|\boldsymbol{\xi}|^{|\alpha|}}, \quad \boldsymbol{\xi} \in \mathbf{R}_*^d.$$

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Therefore, for $\kappa \geq \lfloor \frac{d}{2} \rfloor + 1$ and $\psi \in C^\kappa(K_{0,\infty}(\mathbf{R}^d))$ we have

$$\|\mathcal{A}_\psi\|_{\mathcal{L}(L^p(\mathbf{R}^d))} \leq C_{d,p} \|\psi\|_{C^\kappa(K_{0,\infty}(\mathbf{R}^d))}.$$

Theorem

If $u_n \rightharpoonup 0$ in $L^p_{\text{loc}}(\Omega)$ and (v_n) is bounded in $L^q_{\text{loc}}(\Omega)$, for some $p \in \langle 1, \infty \rangle$ and $q \geq p'$, and $\omega_n \rightarrow 0^+$, then there exist subsequences $(u_{n'})$, $(v_{n'})$ and a complex (supported) distribution of finite order $\nu_{1sH}^{(\omega_{n'})} \in \mathcal{D}'(\Omega \times \mathbf{K}_{0,\infty}(\mathbf{R}^d))$ such that for any $\varphi_1, \varphi_2 \in C_c(\Omega)$ and $\psi \in C^\kappa(\mathbf{K}_{0,\infty}(\mathbf{R}^d))$, where $\kappa = \lfloor \frac{d}{2} \rfloor + 1$, we have

$$\begin{aligned} \lim_{n'} \int_{\mathbf{R}^d} \mathcal{A}_{\psi_{n'}}(\varphi_1 u_{n'}) \overline{\varphi_2 v_{n'}} \, d\mathbf{x} &= \lim_{n'} \langle \varphi_2 v_{n'}, \mathcal{A}_{\psi_{n'}}(\varphi_1 u_{n'}) \rangle \\ &= \langle \nu_{1sH}^{(\omega_{n'})}, \varphi_1 \bar{\varphi}_2 \boxtimes \psi \rangle, \end{aligned}$$

where $\psi_{n'} := \psi(\omega_{n'} \cdot)$. The distribution $\nu_{1sH}^{(\omega_{n'})}$ we call *one-scale H-distribution (with characteristic length $(\omega_{n'})$)* associated to (sub)sequences $(u_{n'})$ and $(v_{n'})$.

The existence of one-scale H-distributions: proof 1/2

For $\psi \in C^\kappa(K_{0,\infty}(\mathbf{R}^d))$ and $\varphi_1, \varphi_2 \in C_c(\Omega)$ such that $\text{supp } \varphi_1, \text{supp } \varphi_2 \subseteq K_m$, we have

$$|\langle \varphi_2 v_n, \mathcal{A}_{\psi_n}(\varphi_1 u_n) \rangle| \leq C_{m,d} \|\varphi_1\|_{L^\infty(K_m)} \|\varphi_2\|_{L^\infty(K_m)} \|\psi\|_{C^\kappa(K_{0,\infty}(\mathbf{R}^d))},$$

where K_m are compacts such that $K_m \subseteq \text{Int } K_{m+1}$ and $\bigcup_m K_m = \Omega$.

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By the **Cantor diagonal procedure** (we have separability) ... we get trilinear form L :

$$L(\varphi_1, \varphi_2, \psi) = \lim_{n'} \langle \varphi_2 v_{n'}, \mathcal{A}_{\psi_{n'}}(\varphi_1 u_{n'}) \rangle.$$

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Commutation lemma $\implies L(\varphi_1, \varphi_2, \psi) = L(\varphi_1 \bar{\varphi}_2, \zeta_1 \zeta_2, \psi)$.

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For $\varphi \in C_c(\Omega)$ and $\psi \in C^\kappa(\mathbf{K}_{0,\infty}(\mathbf{R}^d))$ we define

$$\mathcal{L}(\varphi, \psi) := L(\varphi, \zeta, \psi),$$

where $\zeta \equiv 1$ on $\text{supp } \varphi$.

\mathcal{L} is continuous bilinear form on $C_c(\Omega) \times C^\kappa(\mathbf{K}_{0,\infty}(\mathbf{R}^d))$.

Theorem

Let $\Omega \subseteq \mathbf{R}^d$ be open, and let B be a continuous bilinear form on $C_c^\infty(\Omega) \times C^\infty(\mathbf{K}_{0,\infty}(\mathbf{R}^d))$. Then there exists a unique (supported) distribution $\nu \in \mathcal{D}'(\Omega \times \mathbf{K}_{0,\infty}(\mathbf{R}^d))$ such that

$$(\forall f \in C_c^\infty(\Omega))(\forall g \in C^\infty(\mathbf{K}_{0,\infty}(\mathbf{R}^d))) \quad B(f, g) = \langle \nu, f \boxtimes g \rangle .$$

Moreover, if B is continuous on $C_c^k(\Omega) \times C^l(\mathbf{K}_{0,\infty}(\mathbf{R}^d))$ for some $k, l \in \mathbf{N}_0$, ν is of a finite order $q \leq k + l + 2d + 1$.

The existence of one-scale H-distributions: proof 2/2

Theorem

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Therefore, we have that there exists $\nu_{1sH}^{(\omega_{n'})} \in \mathcal{D}'_{\kappa+2d+1}(\Omega \times \mathbf{K}_{0,\infty}(\mathbf{R}^d))$ such that

$$\begin{aligned} \left\langle \nu_{1sH}^{(\omega_{n'})}, \varphi_1 \bar{\varphi}_2 \boxtimes \psi \right\rangle &= \mathcal{L}(\varphi_1 \bar{\varphi}_2, \psi) \\ &= L(\varphi_1 \bar{\varphi}_2, \zeta_1 \zeta_2, \psi) \\ &= L(\varphi_1, \varphi_2, \psi) = \lim_{n'} \langle \varphi_2 v_{n'}, \mathcal{A}_{\psi_{n'}}(\varphi_1 u_{n'}) \rangle \end{aligned}$$

- 1 H-measures and semiclassical measures
- 2 One-scale H-measures
- 3 One-scale H-distributions
- 4 Multi-scale problems

Example 4: oscillations - two characteristic length

$0 < \alpha < \beta$, $\mathbf{k}, \mathbf{s} \in \mathbf{Z}^d \setminus \{0\}$,

$$u_n(\mathbf{x}) := e^{2\pi i(n^\alpha \mathbf{s} + n^\beta \mathbf{k}) \cdot \mathbf{x}} \xrightarrow{L^2_{\text{loc}}} 0, \quad n \rightarrow \infty$$

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Lower order term n^α and corresponding direction of oscillations \mathbf{s} we cannot resemble in any case.

Therefore, we need some new methods and/or tools.

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- ▶ L. Tartar: *Multi-scale H-measures*, Discrete and Continuous Dynamical Systems - Series S **8** (2015) 77–90.

Still **no satisfactory** results.

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